# Historical reconstruction of the Puget Sound (USA) groundfish community 

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#### Abstract

We examined historical and contemporary trends for a suite of groundfish species in Puget Sound, Washington, USA, to ask how the groundfish community has responded following shifts in fishing regulations, climate, food web, and a growing human population in the surrounding watershed. We used contemporary data (1990-2017) from a standardized annual bottom trawl survey with historical logbook information (1948-1977) from a research vessel. We standardized data to account for spatial and temporal effects on catch rate by first fitting a Bayesian model to the contemporary data, and then using the posterior distributions of the covariates as prior distributions when fitting models to the historical data. We found that most of the 15 species chosen for analysis had highly variable population dynamics within both time periods, and that patterns of variability were similar in the contemporary and historical time period. Surprisingly, there was little evidence of community-wide recovery following regulations that first limited and then banned commercial bottom trawling in the late 1980s to mid-1990s. Spotted ratfish and English sole dominated catches in both time periods, and species that were common in the historical time period were also common in the contemporary time period. The absence of coherent community changes in response to fisheries regulations and other major social and ecological changes may signal the complex dynamics of an urban estuary that is subject to multiple external drivers, and speaks to the need to consider long-term dynamic behavior of populations and communities when establishing ecological indicators.


KEY WORDS: Estuary • Historical data • Fish assemblages • Conservation • Ecological baselines • Multiple stressors

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## 1. INTRODUCTION

Conservation and restoration plans are increasingly using historical information to guide policy and decision making (Swetnam et al. 1999, Samhouri et al. 2011, McClenachan et al. 2012, Engelhard et al. 2016). Historical data can provide much needed context on changes in system state (Kittinger et al. 2013, Van Houtan \& Kittinger 2014) and help determine the intrinsic patterns of variability of ecosystem com-
ponents (Schindler et al. 2006). Historical analysis aids conservation planning and policy in 3 ways. First, it provides information on plausible recovery targets for species or ecological processes, which may be set far below levels that ecosystems have historically supported (Pauly 1995, McClenachan et al. 2012) or may be unattainable due to the current ecosystem state (Marsh et al. 2005). Second, historical information allows for better understanding of how anthropogenic and environmental drivers have
shaped ecosystems (Tallis et al. 2010). Third, historical analyses are also valuable in detecting slow system or transient dynamics, and in distinguishing long-term trends from low-frequency oscillations (Hastings et al. 2018).

Here we examined patterns in historical and contemporary occurrence and density for groundfish in Puget Sound, Washington State, USA. Puget Sound is one of the largest and most ecologically significant estuaries in the USA. It supports a rich fauna, including over 250 fish species (Pietsch \& Orr 2019), and is the second largest estuary complex in the coterminous USA (Ruckelshaus \& McClure 2007). Like many US estuaries, the surrounding watersheds support a large human population and several dense urban centers, both of which have grown rapidly in the last century, placing the ecological system under considerable stress. Currently, several fish populations and stocks are considered to be threatened and/or at-risk (Musick et al. 2000, Washington Department of Fish and Wildlife 2008), and there is widespread recognition that Puget Sound marine life is threatened by impairment of habitat and water quality (Levings \& Thom 1994, Landahl et al. 1997, Bargmann 1998).

Commercial fisheries for groundfish, anadromous salmon, and Pacific herring Clupea pallasii operated for well over a century (Schmitt et al. 1991), and recreational fishing became prominent in the 1970s (Washington 1977, Williams et al. 2010). The only fisheries of commercial significance remaining today are for Pacific salmon (steelhead Oncorhynchus mykiss, coho O. kisutch, chum O. keta, pink O. gorbuscha, sockeye O. nerka, and Chinook O. tshawytscha). While data on a select few species indicate that populations are currently at depressed levels (Drake et al. 2010, National Marine Fisheries Service 2014), a critical outstanding question in the management of the Puget Sound ecosystem is the degree to which the current observed state of the entire marine community differs from earlier states and in particular prior to the initiation of systematic monitoring. In addition, if current and historical states are different, it is unclear whether the differences are the result of large-scale anthropogenic influences, targeted fisheries, or regional environmental influences. A related question important for management is whether there is synchrony in the response of the marine community that may result from a common set of exogenous influences, or whether individual species show unique trends or state changes.

Current efforts to implement action plans to protect and restore the Puget Sound ecosystem are hindered by a paucity of long-term data on species and com-
munity trends (Essington et al. 2011). This is because, unlike other major US estuaries, Puget Sound has not been the subject of routine, standardized monitoring across time scales that would facilitate evaluation of the success or failure of restoration and recovery policies. As a result, current understanding of ecological change comes from comparative analysis of data collected in different decades (Greene et al. 2015) or from time series collected in a geographically restricted area (Essington et al. 2013). Longer time series that incorporate the full geographic and biological extent of the ecosystem are needed to reveal the consequences of anthropogenic and environmental change on fish species in Puget Sound.
We developed a new method that uses contemporary (1992-2016) survey data collected by the Washington Department of Fish and Wildlife to standardize historical (1948-1977) data, and applied that method to reveal patterns (trends and characteristic variability) in the Puget Sound groundfish community. We focused on the groundfish community to fill a gap in understanding about the Puget Sound marine ecosystem as a whole. Species comprising this community are taxonomically diverse, including several species of Chondrichthyes (sharks, rays, and chimaeras) and Teleostei (flatfishes, cods, eelpouts, and others). We first summarize information on anthropogenic and natural drivers of change in Puget Sound and then ask 'What are characteristic patterns of variability in population and community dynamics?'; and 'Has there been a wholesale shift in the groundfish community and, if so, can it be linked to specific anthropogenic or natural drivers?'

## 2. METHODS

### 2.1. Recent history of Puget Sound

As is true for most coastal ecosystems (Jackson et al. 2001), Puget Sound has a long history of fishing. Commercial fishing for groundfish operated in Puget Sound beginning in the late 1800 s and persisted through the bulk of the $20^{\text {th }}$ century. Commercial groundfish fisheries targeted flatfish, gadids, skates, Pacific spiny dogfish Squalus suckleyi, and rockfishes (Sebastes spp.), primarily using bottom trawls (over $50 \%$ of catch), midwater trawls, and various fixed gear (Palsson et al. 1998). Bottom trawling landings reached a peak of ca. 12250 mt annually in the 1980s. Thereafter, landings declined sharply owing to stock depletion (Palsson et al. 1997, 2009) and a series of regulatory actions that closed large regions of Puget

Sound to state-endorsed trawling in 1989 and banned non-tribal bottom trawling in 1994 (Palsson et al. 2009; Fig. 1). Recreational fishing for groundfish has spanned Puget Sound since the 1950s (Washington 1977, Beaudreau \& Whitney 2016), and while total landings were typically $<20 \%$ of commercial landings (Palsson et al. 1997), recreational landings for some species such as rockfishes exceeded commercial landings and likely contributed to population depletion (Williams et al. 2010). The 1974 Boldt decision that granted salmon harvest rights to Native American tribes resulted in a redirection of non-tribal recreational fishing effort towards groundfish, especially rockfish (Williams et al. 2010). Subsequently, several regulatory actions (e.g. bag limits, marine protected areas, species take prohibitions) were enacted through the late 1980s and early 1990s that diminished the intensity of recreational fishing on groundfish (Palsson 1998). Pacific herring, an important prey species for many groundfish (Harvey et al. 2012), was fished heavily in the 1960s and 1970s, initially for reduction and then in sac-roe fisheries. Today, commercial fishing for herring persists at much lower levels, primarily to provide bait for the recreational salmon fishery


Fig. 1. History of select anthropogenic and environmental drivers on Puget Sound. Commercial and recreational groundfish landings taken from Palsson et al. (1997, 1998), Williams et al. (2010), and Stick et al. (2014). Thickness and color intensity are proportional to the intensity of each driver (each scaled relative to itself for comparison). Hatchery releases of coho and Chinook salmon from www.rmpc.org; human population density from the US Census bureau (www.census.gov). Pollutants and terrestrial organic matter taken from Louchouarn et al. (2012) and Brandenberger et al. (2008), respectively. Pinniped abundance is the sum of Steller sea lions, California sea lions, and harbor seals (Chasco et al. 2017a). Annual temperature is scaled annual surface water temperature anomalies from Race Rocks, Washington
(Stick et al. 2014, Sandell et al. 2019). Commercial and recreational salmon fisheries have operated for decades, with landings peaking (ca. 35000 mt statewide) in mid-century (Fig. 1). Thus, a combination of regulation and market forces led to distinct periods of high (1960-1980) and low (1995-present) fishing intensity targeting multiple levels of the food web (Fig. 1).
Other anthropogenic and natural changes to Puget Sound may have had important effects on marine life (Fig. 1). Most notably, human population density in the jurisdictions surrounding Puget Sound has grown at an annual rate of nearly $20 \%$ per decade (US Census Bureau, www.census.gov), outpacing the US national rate by nearly 2 -fold (Fig. 1). This growing human population is associated with numerous eco-system-level effects, including harmful algal blooms (Anderson et al. 2002, Johnson et al. 2010), toxic contaminant inputs (Hart Crowser Inc 2007, West et al. 2008), and nearshore habitat alteration (Dethier et al. 2017). At the same time, terrestrial organic carbon inputs associated with run-off and logging activities have declined in recent decades (Brandenberger et al. 2008), and the closure of smelting plants has reduced inputs of some combustion-derived pollutants (Louchouarn et al. 2012).

Changes in the Puget Sound food web and climate regime over this same time period may also influence groundfish abundance patterns. Hatchery releases of several species of Pacific salmon expanded rapidly in the mid1970s, reached peak levels in the late 1980s, and have declined since then. Abundance of Pacific salmon in the Salish Sea can range widely on an annual basis and is generally correlated with large-scale environmental factors like the Pacific Decadal Oscillation and El Niño-Southern Oscillation. These broadscale drivers, along with variation in hatchery release schedules, can result in substantial variation in predation and competition effects for resident fish populations (Nelson et al. 2019). Also, densities of pinnipeds (primarily Pacific harbor seals Phoca vitulina, but also California sea lions Zalophus californianus and Steller sea lions Eumetopias jubatus) have increased sharply since the passage of the 1972 Marine Mammal Protection Act (Jeffries et al. 2003, Chasco et al. 2017a; Fig. 1) and the
energetic demands of these populations place predation pressure on pelagic and groundfish prey species (Ward et al. 2012, Chasco et al. 2017b). Declines in herring populations mentioned above may influence groundfish abundances, as well, given their ubiquity in historical and contemporaneous diets of many benthic and pelagic species (Harvey et al. 2012). Meanwhile, the well-documented climate regime shift in the late 1970s from a cold to a warm phase of the Pacific Decadal Oscillation (Mantua et al. 1997) has had detectable influence on Puget Sound oceanographic conditions (Moore et al. 2008a,b), although its effects on species and communities in Puget Sound are complex, species-specific, and not fully established.

In summary, the period of 1950-2010 was one of substantial change in Puget Sound, particularly between 1970 and 1990 when there were large changes in fisheries management regulations, large changes in hatchery salmon production, and an environmental shift from a cold to a warm regime. Meanwhile, throughout the entire period there have been sustained changes in drivers such as human population density and pinniped abundance. Any of these major system changes may have influenced demersal fish communities during this time.

### 2.2. Data

Our goal was to estimate speciesspecific trends in Puget Sound groundfish (Table 1) for historical (1948-1977) and contemporary (1990-2016) trawl data sets that vary in sampling location and intensity, survey timing, and survey gear used. The historical dataset, collected opportunistically by the School of Fisheries at the University of Washington, derives from a mix of research projects and class field trips. Logbooks from these research vessels routinely recorded depth, location (often with place names, not coordinates), time, duration, and gear type for each sampling event, along with counts of each species captured. Because each sample was collected for unique reasons, collectively these data do not comprise a survey. However, when standardizing to account for the effects
of sampling location, season, and gear, these data can reveal a fuller picture of changes in the groundfish community.

The contemporary dataset is from a depth- and basin-stratified survey conducted by the Washington Department of Fish and Wildlife to monitor demersal fishes (Blaine et al. 2020). The survey design changed substantially over the time period, from a stratified random survey that visited each basin roughly every 3 yr (1987-2007) to one that visited fixed index sites each year (2008 onwards). Trawl tows were stratified by depth and hydrologic basin, and effort (duration and area swept) was recorded for each tow. Tow duration was usually near 10 min , and swept area was calculated from linear distance traveled and net opening size estimated from sampling depth and trawl wire deployed (mean $\pm$ SD: $9664 \pm 3613 \mathrm{~m}^{2}$ ) Because there is no temporal overlap between the 2 datasets, we cannot directly standardize them to account for differences in gear configuration or vessel.

Table 1. Species included in the analyses, and estimated population vulnerability to fishing based on life history traits. The vulnerability score was calculated using the method of Cheung et al. (2005) with data extracted from fishbase.org on 18 June 2020 (Froese \& Pauly 2000)

| Common name | Scientific name | Reason for $\quad$ Vu inclusion | Vulnerability score |
| :---: | :---: | :---: | :---: |
| Flatfish |  |  |  |
| English sole | Parophrys vetulus | Common | 43 |
| Pacific sanddab | Citharichthys sordidus | Common/fished | 35 |
| Northern/ southern rock sole | Lepidopsetta bilineata and L. polyxystra | Common | 57 |
| Gadids |  |  |  |
| Pacific whiting | Merluccius productus | Common/fished | 60 |
| Pacific cod | Gadus macrocephalus | Fished | 50 |
| Pacific tomcod | Microgadus proximus | Common | 31 |
| Walleye pollock | Gadus chalcogrammus | Common/fished | 37 |
| Chondrichthyes |  |  |  |
| Spotted ratfish | Hydrolagus colliei | Common | 50 |
| Pacific spiny dogfish | Squalus suckleyi | Common/predator/ vulnerable | 79 |
| Longnose skate | Beringraja rhina | Common/vulnerable | le 78 |
| Big skate | Beringraja binoculata | Vulnerable | 86 |
| Other species |  |  |  |
| Plainfin midshipman | Porichthys notatus | Common | 47 |
| Lingcod | Ophiodon elongatus | Predator | 63 |
| Shiner perch | Cymatogaster aggregata | Common/prey | 17 |
| Blackbelly eelpout | Lycodes pacificus | Common | 47 |

We only considered 3 sampling methods in the historical logbook records, namely otter trawl, gulf shrimp trawl, and logbook entries that specified 'bottom trawl', but otter trawl was by far the most common sampling method (Fig. S1 in the Supplement at www. int-res.com/articles/suppl/m657p173_supp.pdf). The historical data often did not include tow duration and


Fig. 2. Tow locations in contemporary and historical data sets. Basins are coded by color, and region delineations are indicated by dotted lines. Historical sampling locations are approximate based on location descriptions in logbooks. Red square in inset map indicates the Puget Sound Region plotted on the left
never included estimates of area swept. For the tows where tow duration was available in the logbook, there was no discernable trend in tow duration through time (Fig. S1).
Approximate tow locations for all of the historical data were estimated based on place names and other location information in logbooks. To account for localscale influences on catch rate, and thereby minimize the effect of interannual changes in sampling locations on the abundance index, we developed a hierarchical spatial classification system, whereby each sample was assigned to a basin and region. Basins are the main geographic divisions of Puget Sound, identified by bathymetric characteristics (Fig. 2: Hood Canal; Whidbey Basin and Skagit Bay; Central Puget Sound; South Puget Sound; San Juan Islands; Strait of Juan de Fuca; Bellingham Bay). Each basin was subdivided into multiple regions, chosen to depict north-south and eastwest divisions and to encompass main sampling areas. Although we also designated sites within regions, we were unable to use these designations in the statistical modeling because too few sites were visited multiple times, very few sites could definitively be matched across the 2 data sets, and the historical dataset had a large number of samples with unidentified site.

### 2.3. Statistical analysis

We used generalized linear mixed effects models (GLMMs) to generate standardized indices of species density and occurrence from the contemporary data, and used the resulting parameter estimates to inform our analysis of the historical data. Essentially, standardization means that we used information in the data on local and regional effects on trawl catches, and then removed those effects to estimate a time series that would be produced if one sampled in a consistent manner (Maunder \& Punt 2004). That is, we estimated the effects of covariates such as sampling depth and loca-
tion from fitting models to the contemporary survey data, and used those estimates to standardize the historical data in a Bayesian framework. Analyzing data in such a 2 -stage process is one of the advantages of Bayesian inference; non-informative prior probabilities were used in the estimation of models fit to contemporary data, and the resulting posterior probabilities were used as prior probabilities when fitting the same models to the historical data. Moreover, standardization was necessary to quantify temporal trends from the contemporary data because the survey design changed, resulting in different spatial, regional, and temporal coverage.

We restricted our analyses to 15 groundfish (demersal) species, which were selected on the basis of data quantity (commonness among datasets), ecological (e.g. top predators) or economic/fishery (e.g. Pacific cod Gadus macrocephalus) importance, and perceived vulnerability (e.g. Chondrichthyes) (Table 1).

We fit a standard delta-GLMM (also sometimes termed a 'hurdle' model) to both contemporary and historical catch data (Thorson et al. 2015). This framework consists of modeling the frequency of occurrence of species in tows and the positive catch rates as a function of covariates. The likelihood for the delta-GLMM is:

$$
L\left(y_{i}\right)=\left\{\begin{array}{cc}
1-p_{i}, & \text { if } y_{i}=0  \tag{1}\\
p_{i} f\left(y_{i} \mid \mu_{i}, k\right) & \text { if } y_{i}>0
\end{array}\right.
$$

where $y_{i}$ is the observed catch rate (number/area swept for contemporary data, number/tow for historical data), $p_{i}$ is the estimated probability of encounter in a tow, $\mu_{i}$ is the predicted average catch rate when a species is present in a tow, and $f\left(y_{i} \mid \mu_{i,} k\right)$ is a gamma probability density function with shape parameter $k$.

The probability of encounter is estimated as a function of fixed effects related to depth and sample date, and random effects related to year, basin, and region where tows were conducted:

$$
\begin{equation*}
\operatorname{logit}\left(p_{i}\right)=\mathbf{x}_{i} \boldsymbol{\beta}_{p}+\mathbf{t}_{i} \boldsymbol{\psi}_{p}+\mathbf{b}_{i} \boldsymbol{\gamma}_{p}+\mathbf{r}_{i} \boldsymbol{\theta}_{p} \tag{2}
\end{equation*}
$$

where $\mathbf{x}_{i,}$ is the vector of fixed effects covariates for observation $i$, and $\mathbf{t}_{i,} \mathbf{b}_{i}$, and $\mathbf{r}_{i}$ are vectors of dummy variables indicating the sampling year, basin, and region, respectively. The vectors $\boldsymbol{\beta}_{p,} \boldsymbol{\psi}_{p,} \boldsymbol{\gamma}_{p,}$ and $\boldsymbol{\theta}_{p}$ are the fixed and random effects parameters, described below.

In Eq. (1), $f\left(y_{i}\right)$ is a gamma probability density function where the mean is related to fixed and random effects as:

$$
\begin{equation*}
\log \left(\mu_{i}\right)=\mathbf{x}_{i} \boldsymbol{\beta}_{y}+\mathbf{t}_{i} \boldsymbol{\psi}_{Y}+\mathbf{b}_{i} \boldsymbol{\gamma}_{Y}+\mathbf{r}_{i} \boldsymbol{\theta}_{Y} \tag{3}
\end{equation*}
$$

where the design vectors and parameters are similar to those in the occurrence model (Eq. 2), but we use the subscript $p$ and $y$ to differentiate the 2 equations.

The vectors $\boldsymbol{\beta}_{p}$ and $\boldsymbol{\beta}_{y}$ include the fixed effect of intercept (here denoting the long-term expectation over time at average sampling depth), and the effects of depth, season, and gear type (historical data only). We modeled seasonal effects with a Fourier transformation, where we fit coefficients separately for $\cos (d)$ and $\sin (d)$, where $d$ is the day of the year of the sample divided by 365 and multiplied by $2 \pi$. We fit several different models to represent alternative ways that depth might dictate encounter probability and catch rate (see Section 2.4).
The random effects of year were modeled as an autoregressive process whereby:

$$
\begin{align*}
& \psi_{p, t}=\rho_{p} \psi_{p, t-1}+\varepsilon_{p, t}  \tag{4}\\
& \psi_{y, t}=\rho_{y} \psi_{y, t-1}+\varepsilon_{y, t}
\end{align*}
$$

where $t$ denotes year, $\rho_{p}$ and $\rho_{y}$ are autocorrelation coefficients, and $\varepsilon_{p, t}$ and $\varepsilon_{y, t}$ are normally distributed random variables with mean 0 and standard deviation $\sigma_{p}$ and $\sigma_{y}$ respectively. By modeling year effects in this way, we allowed for time-dependent variation without having to a priori specify the shape of the trend (e.g. linear, quadratic, asymptotic).
We modeled the effects of basin ( $\boldsymbol{\gamma}_{p,} \boldsymbol{\gamma}_{Y}$ ) and region $\left(\boldsymbol{\theta}_{p}, \boldsymbol{\theta}_{y}\right)$ to represent spatial variation in habitat and species distributions. All are assumed to be normally distributed with mean of 0 . We assumed $\gamma_{p} \sim \mathrm{~N}(0$, $\left.\sigma_{p, b}\right), \gamma_{y} \sim \mathrm{~N}\left(0, \sigma_{y, b}\right), \boldsymbol{\theta}_{p} \sim \mathrm{~N}\left(0, \boldsymbol{\sigma}_{p, r}\right)$, and $\boldsymbol{\theta}_{y} \sim \mathrm{~N}\left(0, \boldsymbol{\sigma}_{y, r}\right)$ where $\boldsymbol{\sigma}_{p, r}$ and $\boldsymbol{\sigma}_{y, r}$ are vectors of length $b$ (where $b$ is the number of basins), while $\sigma_{p, b}$ and $\sigma_{y, b}$ are scalars. In this way, the model was hierarchical, whereby the variance of regional effects varied by basin.

### 2.4. Parameter estimation and model selection

We used the contemporary data to test alternative models of which fixed effects to include, while always including the random effects of basin, region, and year. We anticipated that some species would have non-linear responses to depth (e.g. peak density at intermediate depths), and that differences in bathymetry across basins might cause basin-specific relationships between frequency of occurrence/ catch rate and depth. To that end, we tested 5 alternative models described in Table 2.
Parameters were estimated numerically using Markov chain Monte Carlo methods, using Stan (Carpenter et al. 2017) with the 'no u-turn sampling' (NUTS) algorithm (Hoffman \& Gelman 2014, Stan

Table 2. Alternative fixed effects models tested for each species (see Table 4) fit to contemporary data to evaluate contribution of sampling date, sampling depth, and geographic region (basin)

| Model | Parameters |
| :--- | :--- |
| 1 | $\cos ($ date $)+\sin ($ date $)+$ depth $\times$ basin + depth $^{2} \times$ basin |
| 2 | $\cos ($ date $)+\sin ($ date $)+$ depth + depth |

Development Team 2017) and 'rstan' v 2.19 (Stan Development Team 2017), run in R v 3.5.1(R Development Core Team 2017). Models were run with 3 chains of 2000 iterations each, using the first 1000 as a warm-up period. This chain length is generally sufficient for the NUTS algorithm (Vehtari et al. 2017), and was confirmed by effective sample sizes and tail sample sizes. Chains were not thinned because autocorrelation was not detected. Model outputs were checked to ensure that few divergent transitions occurred, and convergence was evaluated based on the scale reduction factor $\hat{R}$ (Gelman \& Rubin 1992). Posterior predictive checks were visually analyzed to evaluate model fit. To aid in numerical NUTS convergence, i.e. reduce divergences and sampling bias, we used weakly informative priors to constrain parameters with half Cauchy priors for standard deviation parameters (Gelman 2006), and broad Cauchy priors for fixed effects (Table 3). Comparison of posterior densities generated using alternative hyperparameters revealed that the priors had no discernible influence on the estimated posterior probabilities.

To evaluate the data support of alternative models, we used the Pareto-smoothed importance sampling method described by Vehtari et al. (2017) to approximate leave-one-out cross validation, and calculated stacked Bayesian model weights for each model. Models with the highest Bayesian model weight were assumed to have the most data support. We
evaluated best models separately for the frequency of occurrence (i.e. probability of encounter) and the positive catch rate components of the model.

To fit models to the historical data, we used the best fitting model structure from the contemporary data analysis along with additional terms for gear type (bottom trawl, shrimp trawl, otter trawl). We used the Bayesian posterior probabilities from the analysis of contemporary data as prior probabilities for the depth, day, basin, and regional effects and their variances.

### 2.5. Post-model analysis

We used the Bayesian model estimates of frequency of occurrence and average catch rate to evaluate dynamics of each species and how they differed between the historic and contemporary period, to address how the overall community dynamics differed between the 2 time periods.
We estimated the average survey catch rate for each year as:

$$
\begin{equation*}
\hat{c}_{t}=\hat{p}_{t} \hat{\mu}_{t} \tag{5}
\end{equation*}
$$

where $\hat{p}_{t}$ and $\hat{\mu}_{t}$ were calculated assuming otter trawl gear, sampling at the average depth of the contemporary data set, on the average day of the contemporary data set (15 May), for the central basin of Puget Sound (Fig. 1). Estimated catch rates are not directly comparable between historical and contemporary data because different gears and research vessels were used, and the units differed (contemporary data included area swept per tow so $y$ is number per $100 \mathrm{~m}^{2}$ swept, while historical data lacked this information so $y$ is number per tow). Thus, when evaluating dynamics of individual species, we standardized each catch rate time series relative to the maximum annual estimate within each data series (this transformation also places each species on the same scale

Table 3. Model parameters, descriptions, and prior probabilities used in fitting to contemporary data. Parameters are defined in Section 2.3

| Parameter | Prior <br> distribution | Hyperparameters <br> (location, shape) | Description |
| :--- | :---: | :---: | :--- |
| $\operatorname{logit}^{2}\left(\rho_{\mathrm{p}}\right)$, logit $\left(\rho_{y}\right)$ | Normal | $0,1.75$ | Temporal autocorrelation |
| $\boldsymbol{\beta}_{p,} \boldsymbol{\beta}_{y}$ | Cauchy | $0,2.5$ | Fixed effects |
| $\sigma_{p,} \sigma_{y}$ | Half Cauchy | $0,2.5$ | Interannual variance |
| $\sigma_{p, b}, \sigma_{y, b}$ | Half Cauchy | $0,2.5$ | Standard deviation in basin effects |
| $\boldsymbol{\sigma}_{p, r}, \boldsymbol{\sigma}_{\boldsymbol{y}, \boldsymbol{r}}$ | Half Cauchy | $0,1.5$ | Standard deviation in regional effects |
| $k$ | Uniform | $0-100$ | Shape parameter of gamma probability density function |

within an era). We did not make this standardization for frequency of occurrence, because the units of measurement were identical between the 2 periods.

We also used the estimates of yearly catch rate in each time period to examine the extent to which the relative contribution of species to the survey catches varied within and between the 2 time periods. Finally, as a high-level approach to identify species with common variability in catch rates, we calculated the correlation matrix on the catch rate estimates from the 15 species in our analysis. For each species, we first $z$-scored the time series of unstandardized estimated catch rates, then first-differenced the results. We calculated the multivariate correlation matrix on the first-differenced time series, rather than the not-differenced time series, because the differencing removes any effect of non-stationary processes. In other words, this correlation matrix helps address the question 'Which species have synchrony in catch rates after removing species-specific trends?'

Data and code are available at https://github.com/ tessington/Pugetsound_Groundfish.

## 3. RESULTS

### 3.1. Catch rate standardization overview

Frequency of occurrence and positive catch rate were most commonly best explained by the most complex model, where linear and quadradic depth effects varied by basin (Table 4). This was largely due to the San Juan Islands and Hood Canal basins,
where depth effects were steeper than they were in the other basins. Usually, the same fixed effects model provided the best fit to both the frequency of occurrence and positive catch rate, although this varied by species. Model 4 (including date and constant depth effects across basin) was never supported, and Model 5 (including date effect only) was only supported in a single case (Table 4).

### 3.2. Temporal dynamics by taxonomic group

We begin by summarizing observed patterns of variability in the frequency of occurrence and catch rates. To simplify the summary, we focus on the following types of patterns: (1) overall differences in frequency of occurrence between the historical and contemporary periods; (2) differences or similarities in the variability, and time scales of variability, of catch rates between the 2 time periods; and (3) whether catch rate dynamics in the contemporary period suggest population recovery following cessation of commercial fishing, i.e. monotonically increasing catch rates.

### 3.2.1. Flatfish

All 3 flatfish groups in our analysis (Pacific sanddab Citharichthys sordidus, English sole Parophrys vetulus, rock soles Lepidopsetta spp.) occurred more frequently in the contemporary survey than in the historical data, and exhibited little interannual variation in frequency of occurrence (Fig. 3). Catch rate (Eq. 5)

Table 4. Bayesian Model weights for 5 alternative fixed effects models (Table 2) fitted to contemporary data. Models with the greatest support are highlighted in bold

| Species | Model 1 | - Freque <br> Model 2 | cy of occu <br> Model 3 | urrence <br> Model 4 | Model 5 | Model 1 | $\begin{aligned} & \text { Model } 2 \end{aligned}$ | itive catch Model 3 | rate <br> Model 4 | Model 5 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  |  |  |  |
| English sole | 0.80 | 0.00 | 0.00 | 0.20 | 0.00 | 0.63 | 0.21 | 0.12 | 0.00 | 0.04 |
| Spiny dogfish | 0.45 | 0.00 | 0.52 | 0.03 | 0.00 | 0.65 | 0.07 | 0.23 | 0.00 | 0.05 |
| Spotted ratfish | 0.73 | 0.00 | 0.26 | 0.00 | 0.02 | 0.92 | 0.00 | 0.00 | 0.00 | 0.08 |
| Pacific cod | 0.00 | 0.00 | 1.00 | 0.00 | 0.00 | 0.77 | 0.00 | 0.00 | 0.23 | 0.00 |
| Pacific whiting | 0.99 | 0.00 | 0.00 | 0.00 | 0.01 | 0.70 | 0.18 | 0.00 | 0.00 | 0.12 |
| Pacific sanddab | 0.91 | 0.01 | 0.00 | 0.00 | 0.08 | 0.10 | 0.81 | 0.00 | 0.00 | 0.09 |
| Pacific tomcod | 0.88 | 0.00 | 0.07 | 0.00 | 0.05 | 0.85 | 0.00 | 0.15 | 0.00 | 0.00 |
| Walleye pollock | 0.97 | 0.00 | 0.00 | 0.00 | 0.03 | 0.79 | 0.00 | 0.00 | 0.17 | 0.04 |
| Plainfin midshipman | 0.88 | 0.00 | 0.00 | 0.07 | 0.05 | 0.44 | 0.34 | 0.22 | 0.00 | 0.00 |
| Blackbelly eelpout | 0.85 | 0.00 | 0.07 | 0.00 | 0.08 | 0.80 | 0.00 | 0.12 | 0.08 | 0.00 |
| Lingcod | 0.00 | 0.00 | 0.20 | 0.00 | 0.80 | 0.68 | 0.04 | 0.28 | 0.00 | 0.00 |
| Shiner perch | 0.72 | 0.00 | 0.24 | 0.00 | 0.04 | 0.78 | 0.22 | 0.00 | 0.00 | 0.00 |
| Longnose skate | 0.63 | 0.00 | 0.36 | 0.00 | 0.00 | 0.64 | 0.27 | 0.00 | 0.00 | 0.09 |
| Big skate | 0.86 | 0.00 | 0.00 | 0.07 | 0.08 | 0.00 | 0.70 | 0.00 | 0.00 | 0.30 |
| Rock sole | 0.76 | 0.00 | 0.24 | 0.00 | 0.00 | 0.91 | 0.00 | 0.00 | 0.07 | 0.03 |



Fig. 3. Estimated annual trends in frequency of occurrence (blue) and catch rate (orange) for 3 flatfish species in Puget Sound. Catch rates are not comparable between the 2 time periods (historical: left column, contemporary: right column) because they are in different units. They are scaled here such that 1 corresponds to the maximum annual catch rate index over each sampling period.

Colored areas indicate the $80 \%$ credibility interval
quency of occurrence was lower in the contemporary data than historical data for Pacific spiny dogfish, was higher in the historical data for both skate species, and was high in both data sets for spotted ratfish Hydrolagus colliei (Fig. 4). Catch rate was more variable, especially for spiny dogfish and longnose skate Beringraja rhina. Spiny dogfish catch rates exhibited an increasing trend that persisted for nearly the entire historical time period, but a decreasing trend throughout the entire contemporary time period (Fig. 4). Spotted ratfish fluctuated with no discernable trend in both time periods, yet appeared to have a sustained 15 yr period of enhanced catch rates in the contemporary time period from 1995 to 2010, before declining to lower levels
was more variable than frequency of occurrence. For example, English sole regularly exhibited pulses of increased abundance lasting several years. In the historical time period, average catch rate increased from the beginning of the time series, until it reached a peak in the early 1970s, and declined slightly thereafter. Catch rates were initially low in the contemporary period and increased to generally higher levels from 2002 to 2010, before declining to lower levels thereafter. The trends in catch rates for Pacific sand-
dab and rock sole were less clear bemonly (Fig. 3). In the historic period, there was no discernable trend in Pacific sanddab catch rate, while rock sole exhibited a temporal pattern similar to that of English sole. Both Pacific sanddab and rock sole appeared to have increasing catch rates for the initial portion of the contemporary time series before declining in the latter portion of the time series. None of the flatfish species exhibited a monotonically increasing trend in catch rate during the contemporary period.

### 3.2.2. Chondrichthyes

Unlike the flatfish, differences in frequency of occurrence between the 2 time periods were less consistent across the cartilaginous fish species. Fre-


Fig. 4. Estimated annual trends in frequency of occurrence (blue) and catch rate (orange) for the most common species of Chondrichthyes. Other details as in Fig. 3


Fig. 5. Estimated annual trends in frequency of occurrence (blue) and catch rate (orange) for the most prevalent gadid species. Other details as in Fig. 3
rary period, and only 2 years had frequency of occurrence that exceeded the lowest levels seen in the historical time period. Frequency of occurrence of Pacific whiting Merluccius productus and Pacific tomcod Microgadus proximus was generally higher in the contemporary than the historical dataset, while frequency of occurrence of walleye pollock Gadus chalcogrammus was not noticeably different across the 2 time periods. Within each time series, only historical Pacific whiting and Pacific tomcod exhibited any notable temporal trend in frequency of occurrence (Fig. 5). Estimated catch rates of all gadid species were highly dynamic at inter-annual time periods, likely reflecting recruitment events (Fig. 5). Annual catch rates of Pacific whiting and Pacific tomcod were greater at the end of the historical time period

### 3.2.3. Gadids

Contemporary frequency of occurrence of Pacific cod was substantially lower than the historical frequency of occurrence (Fig. 5). In the historical period, estimated annual frequency of occurrence averaged $34 \%$, compared to $5 \%$ in the contempo-
than the beginning, while catch rates of Pacific tomcod were lower at the end of the contemporary time period than at the beginning. Catch rates of other species fluctuated without discernable trends (Fig. 5). None of the gadid species catch rates exhibited monotonically increasing trends in the contemporary time period.


Fig. 6. Estimated annual trends in frequency of occurrence (blue) and catch rate (orange) for several additional encountered species. Other details as in Fig. 3

### 3.2.4. Other species

All other species except lingcod Ophiodon elongatus had higher average frequency of occurrence in the contemporary than in the historical time period (Fig. 6). Blackbelly eelpout Lycodes pacificus frequency of occurrence increased consistently throughout the contemporary time period, while that of other species did not exhibit pronounced trends in either time period. Catch rates were more dynamic among these species (Fig. 7). Lingcod exhibited pulses of high catch rates in both the historical and contemporary time series, while blackbelly eelpout catch rates increased nearly linearly throughout the contemporary time period. Shiner perch Cymatogaster aggregata and plainfin midshipman Por-


Fig. 7. Dynamics of estimated community composition in the historical time period (left) and contemporary time period (right). Catch rate units are numbers per tow for the historical time period, and numbers per $100 \mathrm{~m}^{2}$ in the contemporary time period. Big skate and lingcod are not plotted in this figure because their catch rates were low compared to other species
ichthys notatus tended to exhibit interannual fluctuations with no trend (Fig. 5).

### 3.3. Groundfish community composition and dynamics

Spotted ratfish and English sole dominated catch rates in both time periods, together accounting for 70 and $65 \%$ of the total catch in the historical and contemporary time periods, respectively (Fig. 7). Spotted ratfish were most dominant in the historical time period, accounting for $30-72 \%$ of annual catch rate (averaging $50 \%$ ). In comparison, English sole contribution increased from an average of $20 \%$ in the historical period to $38 \%$ of the catch rate in the contemporary time period (Fig. 7). Spiny dogfish were a much larger component of the historical catch rate, accounting for, on average, $15 \%$ of the catch rate in the historical data but only $1.7 \%$ in the contemporary data (Fig. 7). Pacific cod were always a small component of the numerical catch, but declined from $1.23 \%$ in the historical time period to $0.09 \%$ in the contemporary time period. Blackbelly eelpout was a more important part of the contemporary catch, increasing from $0.7 \%$ in the historical time period to $5 \%$ in the contemporary time period. Overall community catch rates increased throughout the historical time period (when commercial fishing was active). During the contemporary period when commercial fishing had ceased, the catch rates increased for the first 15 yr , but declined thereafter to levels similar to the start of the time series (Fig. 7).

In both time periods, synchrony between species pairs was more common than anti-synchrony (Fig. 8). In the historical data set, there were 18 significant ( $p<$ 0.05 ) positive correlations compared to 3 significant negative correlations. In the contemporary data set, there were 23 significantly positive correlations and 10 significantly negative correlations. However, few of these significant correlations were common across the 2 data sets. Only 1 species pair had significant negative correlations in both time periods, and only 5 species pairs had significant positive correlations in both time periods. Further, the correlation between plainfin midshipman and Pacific spiny dogfish was significantly positive in the historical data set and significantly negative in the contemporary data set (Fig. 8).

## 4. DISCUSSION

To our knowledge, this study is one of the first to combine historical data with contemporary survey data to evaluate long-term dynamics of a groundfish community in a large estuary. Estuaries are subject to numerous human influences (Fig. 1), and we therefore expected that dynamics, particularly of vulnerable species, would vary markedly between the 2 time periods, similar to the findings of Greenstreet \& Hall (1996). Because there was no temporal overlap between the 2 data sets, we are unable to unequivocally conclude that any species became more or less common or abundant, and because many changes


Fig. 8. Estimated correlation matrix of catch rates for the historical (left) and contemporary (right) groundfish data. The catch rates were standardized and first-differenced to remove non-stationary trends
occurred simultaneously, we are unable to link dynamics to specific causes. Despite those limitations, we found that the population dynamics of groundfish were broadly similar across the 2 time periods, and many species that were common in the historical record remained common in the contemporary sampling, with some exceptions. All flatfish species, plainfin midshipman, blackbelly eelpout, and shiner perch were consistently more common in the contemporary than historical data, while Pacific spiny dogfish and Pacific cod were substantially less common in the contemporary data. These shifts may be due to differences in catchability associated with the sampling gear, or may reflect shifts in population abundances and distribution. At a community level, the composition was dominated by the same 2 species (English sole and spotted ratfish) in both time periods. Our combination of historical and contemporary data revealed that many populations undergo low-frequency oscillations in abundance, which has important implications for information needs when setting ecologically relevant baselines and recovery targets for restoration and other management action.

### 4.1. Insights from historical analyses

This work demonstrates the value of applying historical data to assess the status of marine resources (Van Houtan \& Kittinger 2014) in 2 ways. First, our work detected sharp reductions in the frequency of occurrence of Pacific cod between the historical and
contemporary time period, which has been previously described using a combination of fishery and scientific monitoring data but not directly quantified, due to gaps in such data. Pacific cod supported recreational and commercial fisheries from the 1940s to the late 1980s, with annual landings fluctuating around 900 mt (Palsson 1990, Gustafson et al. 2000). Prior work was unable to make firm conclusions regarding its population status because of the paucity of surveys and the reliance on catch data to infer population trends. Gustafson et al. (2000) used data from 1987-1997 to conclude that there was no sustained trend or change in catch rate of Pacific cod, although that study was conducted after regulations imposed in 1987 that ceased most directed fishing towards Pacific cod. In comparison, we found a reduction of approximately 5 -fold in the frequency of occurrence between the historical time period and the contemporary time period. Granted, catch rate metrics are not directly comparable as the 2 data periods used different vessels, different net configurations, and different sampling designs; however, for most species the frequency of occurrence was greater in the contemporary time series, with only Pacific cod and Pacific spiny dogfish exhibiting consistently lower frequency of occurrence in the contemporary time period (and the latter reduction was much smaller than that seen for Pacific cod). The shift in the Pacific Decadal Oscillation (Fig. 1) from a cold to a warm phase may be responsible for this decline, as Puget Sound is near the southern edge of the geographic range for

Pacific cod (Ketchen 1961). The interactive effect of warming waters and a concomitant drop in productivity combined with sustained fishing pressure (Pinsky \& Byler 2015) may have severely depleted the local stocks.

Second, the longer-term view afforded by the historical reconstruction provides an improved understanding of the temporal scales of population variability in Puget Sound groundfish. For example, the increase in English sole catch rates in the initial phases of the contemporary period might reasonably be interpreted as a response to fishing regulations. However, the historical and contemporary time series show similar time scales of population fluctuations, presenting the possibility that the population response in the 1990s was unrelated to fishing restrictions and was instead driven by interactions between species life history and environmental fluctuations (Botsford et al. 2014). These irregular population dynamics (sensu Spencer \& Collie 1997) are likely induced by complex interactions of species life history (e.g. longevity, age at maturation), environmentally mediated recruitment, low-frequency environmental dynamics, and species interactions. Low-frequency, irregular dynamics can also explain the lack of consistency in shared temporal dynamics among members of the groundfish community. That is, low-frequency population dynamics can create the appearance of synchrony or asynchrony of species dynamics when time series are relatively short, despite the absence of any long-term relationship (Siple et al. 2020). Short-term observations can give the impression of tending towards an asymptotic state, when in fact the dynamics are transient (Hastings et al. 2018). Our results show that baseline analysis should not consider only the 'average' state, but also the baseline dynamic behavior of populations and communities.

Taken together, these observations speak directly to the selection and interpretation of ecological indicators, limits, and targets for these indicators as used in decision making (Rice \& Rochet 2005). Recently, groundfish (specifically 'benthic marine fish') have been listed as one of 6 'vital sign' indicators to assess progress towards meeting the recovery goal of ‘Thriving Species and Food Webs' (https://vitalsigns. pugetsoundinfo.wa.gov/About) for the State of Washington. Our results suggest that target and limit levels for these species will be difficult to assign using contemporary data alone. Semi-quantitative trend indicators, or composite indicators (e.g. total groundfish biomass, biomass by functional group)
may prove more useful than species-level indicator targets (Samhouri et al. 2011).

### 4.2. Responses to fishing restrictions

We had expected that regulations restricting bottom trawling, which began in the late 1980s as area restrictions and were finalized in 1994 with a complete ban, would have fostered population recovery of targeted and other vulnerable species within the contemporary period, as has been witnessed in several areas when fishing has been radically diminished (Halpern \& Warner 2002, Neubauer et al. 2013). We expected this recovery to appear as monotonically increasing trends in catch rates in the contemporary time period, yet few species had catch rate dynamics that matched this expectation. Several species (English sole, rock soles, lingcod, longnose skate) exhibited increased catch rate trends in the early part of the contemporary time series, only to decline in the latter portion of these time series. Only blackbelly eelpout exhibited sustained increases throughout the sampling period, although there is not much indication that eelpouts are particularly vulnerable to fishing (based on life history traits; Table 1) and this species was never a specific target of commercial trawl fishing. At the same time, Pacific spiny dogfish exhibited reduced frequency of occurrence and declining catch rate after the cessation of bottom trawling, despite having a life history that makes it more vulnerable to fishing mortality (Table 1).

The absence of a pronounced signal from fishing restrictions may also indicate that fishing intensity was not sufficiently strong to significantly deplete these populations, in light of other drivers of population dynamics. Also, the trawl gear used here did not effectively sample vulnerable rockfish species that are known to have been heavily impacted by fishing (Palsson et al. 2009), so we could not include them in our analysis. Alternatively, the initial regulatory changes that began in the late 1980s may have allowed some species to recover prior to the onset of the contemporary sampling period. If this were true, then it would explain why many species had higher frequency of occurrence in the contemporary data set. The absence of substantial community changes in response to fisheries regulations may also signal the complex dynamics of an urban estuary that is subject to multiple external drivers. During the same time that fishing regulations restricted and then banned bottom trawling, recreational fishing for groundfish increased (largely targeting rockfish and
lingcod) and then decreased, the northeastern Pacific Ocean shifted climatic regimes, piscivorous pinniped populations continued to increase, and the forage base, in Pacific herring, continued to decline. Predicting population-level consequences to cumulative drivers is already challenging (Hodgson et al. 2019), and is even more difficult at a community or food web level because of uncertainty regarding species interactions (Yodzis 2000) combined with timelagged, non-equilibrium, and non-linear dynamics (Sugihara et al. 2012, Shelton et al. 2013, Ye et al. 2015). For example, restoration strategies that are limited to targeting only a segment of the food web are less likely to be successful than strategies that target both predators and prey (Samhouri et al. 2017). Within our groundfish community are piscivorous fish (spiny dogfish, Pacific cod, lingcod), whose dynamics may have affected smaller-bodied groundfish. Indeed, the lack of persistent correlations of species dynamics that we observed is consistent with the hypothesis of a high-dimensional system with multiple interacting components.

### 4.3. Comparison to pelagic species

The absence of sustained directional change in the groundfish community stands in rather stark contrast to changes in the pelagic community. While each Pacific salmon run has a unique temporal pattern of smolt and smolt-to-adult survivorship, overall, coho and steelhead salmon have experienced a notable decline from the late 1970s to the present day (Zimmerman et al. 2015, Kendall et al. 2017). Chinook salmon survivorship exhibits less of a pattern (Ruff et al. 2017), but this may reflect the fact that reliable and spatially comprehensive data are not available for the late 1970s when other salmon showed declines. At the same time, pink and chum salmon have experienced increased survival and have become more abundant since the mid-1990s (Sobocinski et al. 2018, Losee et al. 2019). Greene et al. (2015) documented increased frequency of large jellyfish catch events in pelagic surveys in the 2000s compared to the 1970s and 1980s, and also documented declines in Pacific herring catch rates, consistent with the State of Washington Pacific herring spawning biomass surveys (Stick et al. 2014, Siple et al. 2018). Degradation of critical freshwater habitat for anadromous salmonids plays an important role in limiting the productivity of these species, and the outsized influence of this stressor on population trends, plus the somewhat limited time spent in the estuary by many salmon
species, could explain the relatively stronger signal in comparison to the resident benthic species evaluated here. Pacific herring were subject to a major fishery that reduced their abundances dramatically, which, in combination with their dependence on nearshore habitats that are largely degraded, declining recruitment and adult survivorship (Siple et al. 2018), and predation by pinnipeds, could explain why these declines are so clearly detected.

### 4.4. Caveats and limitations

Our analysis method required that we made several assumptions that may have affected the precision and accuracy of our standardization methods. First, there was no overlap in sampling between the historical data record and the contemporary survey period, and little information is available on the specific configuration of historical trawl gears. Consequently, we cannot fully reconstruct long-term dynamics, but instead could only ask whether the characteristics of populations and community dynamics were similar across the time periods. Second, in our standardization method, we assumed that yearly dynamics were shared across all Puget Sound basins, yet it is likely that individual basins experience localized fluctuations related to recruitment and settlement processes. Third, the locations of the historical sampling records had to be inferred from logbook notes, limiting our ability to fully account for localized effects on catch rates. Fourth, the historical data from logbooks contained limited information on tow duration and no information on area swept, and involved 3 separate fishing gears. Differences in fishing effort can confound frequency of occurrence and catch rate (numbers per tow). The effects of fishing gear on frequency of occurrence and catch rate were often substantial (Table S 1 ), but were speciesspecific, and most of the samples in the historical period were collected with otter trawls (Fig. S1).

### 4.5. Conclusions

Currently, many efforts are underway to restore, recover, and protect Puget Sound functioning and the benefits it provides to people (Puget Sound Partnership 2010). The main proximate threats include shipping, toxic contaminants, harmful algal blooms, loss of nearshore habitats, and non-point source pollution from an increasingly urbanized watershed. At the same time, oxygen depletion, ocean acidification,
and ocean warming pose long-term threats to aquatic life. Despite these threats, we found little evidence that these have had detectable and marked influence on some of the most common and historically exploited groundfish populations in this ecosystem over the time periods evaluated in the study. Our results suggest that these populations are insensitive to these threats, that other environmental drivers are counteracting the stressors we identified here, or that the community response is challenging to detect given the limitations of our data.

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